

# Reconstruction of homogeneous relational structures

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December 2006

## 1 Introduction

This paper contains a result on the reconstruction of certain homogeneous transitive  $\omega$ -categorical structures from their automorphism group. The structures treated are relational. In the proof it is shown that their automorphism group contains a *generic pair* (in a slightly non-standard sense, coming from Baire category).

Reconstruction results give conditions under which the abstract group structure of the automorphism group  $\text{Aut}(\mathcal{M})$  of an  $\omega$ -categorical structure  $\mathcal{M}$  determines the topology on  $\text{Aut}(\mathcal{M})$ , and hence determines  $\mathcal{M}$  up to bi-interpretability, by [1]; they can also give conditions under which the abstract group  $\text{Aut}(\mathcal{M})$  determines the permutation group  $\langle \text{Aut}(\mathcal{M}), \mathcal{M} \rangle$ , so determines  $\mathcal{M}$  up to bi-definability. One such condition has been identified by M. Rubin in [12], and it is related to the definability, in  $\text{Aut}(\mathcal{M})$ , of point stabilisers. If the condition holds, the structure is said to have a *weak  $\forall\exists$  interpretation*, and  $\text{Aut}(\mathcal{M})$  determines  $\mathcal{M}$  up to bi-interpretability or, in some cases, up to bi-definability.

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\*This research was part of the first author's PhD thesis at the University of Leeds, and was sponsored by the Istituto Nazionale di Alta Matematica

A better-known approach to reconstruction is via the ‘small index property’: an  $\omega$ -categorical structure  $\mathcal{M}$  has the *small index property* if any subgroup of  $\text{Aut}(\mathcal{M})$  of index less than  $2^{\aleph_0}$  is open. This guarantees that the abstract group structure of  $\text{Aut}(\mathcal{M})$  determines the topology, so if  $\mathcal{N}$  is  $\omega$ -categorical with  $\text{Aut}(\mathcal{M}) \cong \text{Aut}(\mathcal{N})$  then  $\mathcal{M}$  and  $\mathcal{N}$  are bi-interpretable. Most of the structures handled in this paper are known to have the small index property. However, in unpublished work, mentioned at the end of this paper, A. Singerman has shown that there is an  $\omega$ -categorical structure which has a weak  $\forall\exists$ -interpretation but does not have the small index property – it is one of the well-known examples, identified by Cherlin and Hrushovski, whose automorphism group has an infinite profinite quotient. There are also familiar examples, the random tournament and the universal homogeneous partial order, which are proved in [12] to have a weak  $\forall\exists$ -interpretation, but for which the small index property is unknown. On the other hand, there are easy examples with the small index property but no weak  $\forall\exists$ -interpretation (e.g. an equivalence relation with all classes of size two, or indeed, any  $\omega$ -categorical structure whose automorphism group has non-trivial centre – see Proposition 1.2.1 of [3]).

Our belief is that the existence of weak  $\forall\exists$ -interpretations is rather easier to prove than the small index property, and that there are many different (slightly *ad hoc*) approaches. This is reinforced by the present paper and also by [2], which applies other techniques (mainly transvections) to obtain weak  $\forall\exists$ -interpretations for infinite dimensional projective spaces over a finite field, possibly equipped with a bilinear or quadratic form. The existence of a weak  $\forall\exists$ -interpretation provides extra information which apparently does not follow from the small index property; namely, that the structure  $\mathcal{M}$  is first-order interpretable (with parameters) in  $\text{Aut}(\mathcal{M})$ .

In this paper, we describe a method for obtaining weak  $\forall\exists$  interpretations for a range of homogeneous relational structures; these include universal homogeneous  $k$ -hypergraphs, homogeneous  $K_m$ -free graphs and the ‘Henson digraphs’. (In this paper, a *homogeneous structure* is a countable relational structure such that every isomorphism between finite substructures extends to an automorphism.) For all of these structures, the small index property is also known to hold, through a method from [8] which uses ‘generic sequences of automorphisms’, together with various extension lemmas for partial isomorphisms proved by Herwig [7]. These lemmas are analogues, for various classes of finite structures, of Hrushovski’s extension lemma for graphs [10]. The latter is needed to ensure that the argument in [8] applies to the random graph. It states that given any finite graph  $\Gamma$ , there is a finite graph  $\Delta$  which contains  $\Gamma$  as an induced subgraph, such that any isomorphism between subgraphs of  $\Gamma$  extends to an automorphism of  $\Delta$ . We shall need suitable versions of Herwig’s extension lemmas, relativised to partial isomorphisms having a specific cycle type. It is possible that the method

that we give here for obtaining weak  $\forall\exists$  interpretations might work where Herwig's method for small index does not. In particular, the extension lemmas required here only involve extending *two* partial isomorphisms (though we have no examples to indicate that this weakening is helpful).

In Section 2 we define 'generic pairs' of automorphisms of an  $\omega$ -categorical structure. We also show, in Theorem 1.1, how to derive a weak  $\forall\exists$  interpretation from the existence of a generic pair. In Section 3 we describe some sufficient conditions for the existence of a generic pair. Section 4 contains a description of Herwig's arguments in [7], and of how to modify them so that the construction in Section 3 works. This ensures that the examples mentioned in the last paragraph have a weak  $\forall\exists$  interpretation. We use this to reprove a version of Singerman's result in Theorem 4.4.

Our main general theorem is the following. We warn, though, that our definition of weak  $\forall\exists$ -interpretation is marginally weaker than that of Rubin (see Definition 1.3 below, and the remark before it). In the theorem below our notation is as follows: if  $G$  is a permutation group on a set  $Y$ , and  $y \in Y$ , then  $G_y$  denotes the stabiliser of  $y$ , and if  $g \in G$  then  $\text{fix}(g) := \{y \in Y : g(y) = y\}$ . If  $\bar{g} = (g_1, \dots, g_n) \in G^n$ , then  $\bar{g}^G := \{(g_1^h, \dots, g_n^h) : h \in G\}$ . The transitivity assumption below is to keep the statements as simple as possible. All the examples we have in mind are transitive, that is, have transitive automorphism group.

**Theorem 1.1** *Let  $\mathcal{M}$  be an  $\omega$ -categorical transitive relational structure, let  $c \in \mathcal{M}$  and  $f_1, f_2 \in \text{Aut}(\mathcal{M})$  be such that*

1.  $\text{fix}(f_1) = \text{fix}(f_2) = \{c\}$ ,
2. *the conjugacy class  $(f_1, f_2)^{\text{Aut}(\mathcal{M})_c}$  is comeagre in  $X_c \times X_c$ ,*

*where  $X_c = \{g \in \text{Aut}(\mathcal{M}) : \text{fix}(g) = \{c\}\}$ .*

*Then  $\mathcal{M}$  has a weak  $\forall\exists$  interpretation.*

From the above, via Theorem 1.6 below, we obtain the following, which also follows from the work of Herwig. The 'Henson digraphs' are the family of size continuum of countable homogeneous digraphs described by Henson in [5]. Each is determined by a set of 'forbidden configurations', namely, a set of pairwise incomparable finite tournaments.

**Corollary 1.2** *Let  $\mathcal{M}$  be a universal homogeneous  $K_m$ -free graph, a universal homogeneous  $k$ -hypergraph or a Henson digraph, and let  $\mathcal{N}$  be  $\omega$ -categorical and such that  $\text{Aut}(\mathcal{N}) \cong \text{Aut}(\mathcal{M})$ . Then  $\mathcal{M}$  and  $\mathcal{N}$  are bi-interpretable.*

We now give the definition of a weak  $\forall\exists$  interpretation (to be found in [12]). In fact, we work (throughout this paper) with a slightly weaker notion of  $\forall\exists$  equivalence formula than Rubin's. The difference is that, unlike Rubin, in the final clause below we do not require that the formula defines an equivalence relation which is invariant under conjugation in *all groups*. Inspection of Rubin's proofs shows that this does not affect his applications to bi-interpretability and bi-definability.

**Definition 1.3** *Let  $G$  be a group, and let  $\bar{g} = \langle g_1, \dots, g_n \rangle \in G^n$ . Let  $\phi(\bar{g}, x, y)$  be a formula in the language of groups with parameters  $\bar{g}$ . Let  $C := g_1^G$ . We say that  $\phi$  is an  $\forall\exists$  **equivalence formula** for  $G$  if:*

- $\phi$  is  $\forall\exists$ ;
- *Group theory  $\vdash \forall \bar{u}(\phi(\bar{u}, x, y))$  is an equivalence relation on the conjugacy class of  $u_1$ );*
- $\phi(\bar{g}, x, y)$  defines a conjugacy invariant equivalence relation on  $C$ .

We shall write  $E^\phi$  for the equivalence relation defined by  $\phi$ .

**Definition 1.4 (Weak  $\forall\exists$  interpretation, transitive case)** *Let  $\mathcal{M}$  be  $\omega$ -categorical, and such that  $\text{Aut}(\mathcal{M})$  acts transitively on  $\mathcal{M}$ . A **weak  $\forall\exists$  interpretation** for  $\mathcal{M}$  is a triple  $\langle \phi, \vec{g}, \tau \rangle$ , where  $\phi \equiv \phi(\vec{g}, x, y)$  is an  $\forall\exists$ -equivalence formula for  $\text{Aut}(\mathcal{M})$ ,  $\vec{g} \in \text{Aut}(\mathcal{M})^n$ , and  $\tau$  is an isomorphism between the permutation groups  $\langle \text{Aut}(\mathcal{M}), C/E^\phi \rangle$  and  $\langle \text{Aut}(\mathcal{M}), \mathcal{M} \rangle$ , that is,  $\tau : C/E^\phi \rightarrow \mathcal{M}$  is a bijection such that for all  $g, h \in \text{Aut}(\mathcal{M})$*

$$[\tau(h/E^\phi)]^g = \tau(h^g/E^\phi).$$

By the Ryll-Nardzewski theorem,  $\text{Aut}(\mathcal{M})$  has finitely many orbits on  $\mathcal{M}$ . We can thus extend the definition of a weak  $\forall\exists$  interpretation to the general case when  $\mathcal{M}$  is not transitive.

**Definition 1.5 (Weak  $\forall\exists$  interpretation)** *Let  $\mathcal{M}$  be an  $\omega$ -categorical structure with 1-types  $P_1, \dots, P_n$ . A **weak  $\forall\exists$  interpretation** for  $\mathcal{M}$  is a tuple  $\langle \vec{\phi}, \vec{g}, \vec{\tau} \rangle$ , where  $\vec{g} = (\vec{g}^1, \dots, \vec{g}^n)$  are tuples of elements of  $\text{Aut}(\mathcal{M})$ ,  $\vec{\phi} = (\phi_1, \dots, \phi_n)$ , with  $\phi_i \equiv \phi_i(\vec{g}^i, x, y)$ , are  $\forall\exists$ -equivalence formulae for  $\text{Aut}(\mathcal{M})$ , and  $\vec{\tau} = (\tau_1, \dots, \tau_n)$  are maps such that each triple  $\langle \phi_i, \vec{g}^i, \tau_i \rangle$  is a weak  $\forall\exists$  interpretation for the structure induced on  $P_i$ .*

We can now state Rubin's main result. A structure  $\mathcal{M}$  is *without algebraicity* if  $\text{acl}(A) = A$  for all  $A \subseteq M$ .

**Theorem 1 (Rubin, 1987)** *Let  $K$  be the class of  $\omega$ -categorical structures without algebraicity. Let  $\mathcal{M} \in K$  have a weak  $\forall\exists$ -interpretation, and let  $\mathcal{N} \in K$  be such that  $\text{Aut}(\mathcal{M}) \cong \text{Aut}(\mathcal{N})$  as pure groups. Then  $\langle \text{Aut}(\mathcal{M}), \mathcal{M} \rangle \cong \langle \text{Aut}(\mathcal{N}), \mathcal{N} \rangle$  as permutation groups, that is,  $\mathcal{M}$  and  $\mathcal{N}$  are bi-definable.*

We also state the following consequence of Rubin's work, noted in [12] and proved in [3] (Proposition 1.1.10).

**Theorem 1.6** *Let  $\mathcal{M}$  and  $\mathcal{N}$  be  $\omega$ -categorical structures with isomorphic automorphism groups, and suppose that  $\mathcal{M}$  has a weak  $\forall\exists$ -interpretation. Then  $\mathcal{M}$  and  $\mathcal{N}$  are bi-interpretable.*

The existence of weak  $\forall\exists$ -interpretations also yields the following (which is not terribly surprising, given that the theory of the automorphism group is likely to be far wilder than that of the structure).

**Proposition 1.7** *Suppose that the  $\omega$ -categorical structure  $\mathcal{M}$  has a weak  $\forall\exists$ -interpretation. Then the structure  $\mathcal{M}$  is interpretable with parameters in  $\text{Aut}(\mathcal{M})$ .*

**Proof** If  $\mathcal{M}$  has a weak  $\forall\exists$ -interpretation then there are conjugacy classes  $C_1, \dots, C_n$  and for each  $i$  a  $G := \text{Aut}(\mathcal{M})$ -invariant equivalence relation  $E_i$  on  $C_i$  such that the domain of  $\mathcal{M}$  can be identified with the disjoint union of the  $C_i/E_i$ , with  $G$  acting by conjugation (we can use a formal device to ensure that the  $C_i/E_i$  are disjoint, e.g. replacing a conjugacy class by a conjugacy class of pairs  $(g, 1)$ ). Every  $\emptyset$ -definable relation of  $\mathcal{M}$  is a finite union of  $G$ -orbits, and  $G$ -orbits on  $(C_1/E_1)^{r_1} \times \dots \times (C_t/E_t)^{r_t}$  are definable in the group language.  $\square$

In the second part of [12], Rubin showed that many binary relational  $\omega$ -categorical structures have weak  $\forall\exists$ -interpretations. In a further paper [2] the first author has exhibited weak  $\forall\exists$ -interpretations (again, with a slightly different version of the notion) for  $\aleph_0$ -dimensional projective geometries over a finite field, possibly equipped with a non-degenerate sesquilinear form.

## 2 Generic pairs of automorphisms and weak $\forall\exists$ interpretations

In this section we prove Theorem 1.1.

Let  $\mathcal{M}$  be a transitive  $\omega$ -categorical structure,  $G = \text{Aut}(\mathcal{M})$ ,  $c \in \mathcal{M}$  and  $X_c \subseteq G$  be the set of automorphisms fixing only  $c$ :

$$X_c := \{p \in G : \text{fix}(p) = \{c\}\}.$$

It is well known that  $G$  can be given the structure of a Polish space (i.e. a completely metrisable space which is also separable): indeed, let  $\{x_i : i \in \omega\}$  list the domain of  $\mathcal{M}$ , and define a metric  $d$  by putting  $d(g, h) = 1/2^n$  where  $n$  is least such that  $g(x_n) \neq h(x_n)$  or  $g^{-1}(x_n) \neq h^{-1}(x_n)$ . Clearly,  $X_c$  is closed in  $G$ . Hence  $X_c$  is a Polish space in its own right, and so are the stabiliser  $G_c$  and the product space  $X_c \times X_c$ .

**Definition 2.1** *Let  $X \subseteq \text{Aut}(\mathcal{M})$  be closed in  $\text{Aut}(\mathcal{M})$ , so that  $X$  is a Polish space with the inherited topology. Suppose  $H \leq \text{Aut}(\mathcal{M})$  is a subgroup such that  $X^H := \{x^h : x \in X, h \in H\} = X$ , so that  $H$  acts on  $X$  by conjugation. A tuple  $(g_1, \dots, g_n) \in X^n$  is an  **$H$ -generic tuple** in  $X$  if the orbit  $(g_1, \dots, g_n)^H$  of  $H$  on  $X^n$  is comeagre in the Polish space  $X^n$ .*

**Fact 2.2** *Any two  $H$ -generic  $n$ -tuples are conjugate in  $X^n$  under  $H$ .*

**Proof** This follows from the fact that orbits of  $H$  on  $X^n$  are either disjoint or equal, and any two comeagre subsets of a Polish space have comeagre intersection.  $\square$

Suppose now that  $G$  contains automorphisms  $(f_1, f_2)$  such that  $\text{fix}(f_1) = \text{fix}(f_2) = \{c\}$  and the pair  $(f_1, f_2)$  is  $G_c$ -generic in  $X_c \times X_c$  (i.e.  $(f_1, f_2)^{G_c}$  is comeagre in  $X_c \times X_c$ ). Let  $\mathcal{D} = (f_1, f_2)^{G_c}$ . Our goal is to show that then  $\mathcal{M}$  has a weak  $\forall\exists$  interpretation, based on an equivalence relation defined in terms of  $\mathcal{D}$ .

**Lemma 2.3** *The set  $f_1^{G_c}$  is comeagre in  $X_c$ .*

**Proof** Consider the projections  $\mathcal{D}_1, \mathcal{D}_2$  of  $\mathcal{D}$  to the first and second coordinates respectively. Clearly  $\mathcal{D} \subseteq \mathcal{D}_1 \times \mathcal{D}_2$ . Since  $\mathcal{D}$  is comeagre in  $X_c \times X_c$ ,  $\mathcal{D}_1 \times \mathcal{D}_2$  also is. Via the Kuratowski-Ulam theorem (see e.g. Theorem 8.41 of [11]), it is easy to see that  $\mathcal{D}_1$  is comeagre in  $X_c$ . Note that  $f_1^{G_c} = \mathcal{D}_1$ .  $\square$

**Proposition 2.4** *Let  $g \in f_1^{G_c}$  and  $\mathcal{D}_g := \{h \in X_c : (g, h) \in \mathcal{D}\}$ . Then  $\mathcal{D}_g$  is comeagre in  $X_c$  for all  $g \in f_1^{G_c}$ .*

**Proof** Since  $\mathcal{D}$  has the Baire Property, by the Kuratowski-Ulam theorem, the set

$$\{h \in X_c : \mathcal{D}_h \text{ is comeagre in } X_c\}$$

is comeagre in  $X_c$ . Also,  $f_1^{G_c}$  is comeagre in  $X_c$ , so

$$\{h \in X_c : \mathcal{D}_h \text{ is comeagre in } X_c\} \cap f_1^{G_c} \neq \emptyset.$$

Pick  $g \in \{h \in X_c : \mathcal{D}_h \text{ is comeagre in } X_c\} \cap f_1^{G_c}$ , so that  $\mathcal{D}_g$  is comeagre in  $X_c$ . Note that  $G_c$  is transitive on  $f_1^{G_c}$ . Also, if  $\mathcal{D}_g$  is comeagre in  $X_c$  and  $h$

is conjugate to  $g$  under  $G_c$ , then  $\mathcal{D}_h$  is also comeagre in  $X_c$ . Therefore,  $\mathcal{D}_g$  is comeagre in  $X_c$  for all  $g \in f_1^{G_c}$ .  $\square$

Now define  $\mathcal{D}^G = \{(g_1, g_2)^g : (g_1, g_2) \in \mathcal{D}, g \in G\}$ , and let  $\mathcal{D}_1^G$  be the projection of  $\mathcal{D}^G$  to the first coordinate; so  $\mathcal{D}_1^G$  is a conjugacy class of  $G$ . Since we assume  $G$  to be transitive, for each  $a \in \mathcal{M}$  there is  $g \in G$  such that  $a^g = c$ . The set  $\mathcal{D}^G$  consists of certain pairs  $(h_1, h_2)$  such that  $\text{fix}(h_1) = \text{fix}(h_2)$  is a singleton, and for each  $a \in \mathcal{M}$  there is a pair in  $\mathcal{D}^G$  fixing  $a$ . We shall define an equivalence relation on  $\mathcal{D}_1^G$  which identifies automorphisms having the same fixed point.

**Lemma 2.5** *Let  $E$  be the following equivalence relation on  $\mathcal{D}_1^G$ :*

$$g_1 E g_2 \iff \text{fix}(g_1) = \text{fix}(g_2).$$

*Then for  $g_1, g_2 \in \mathcal{D}_1^G$*

$$g_1 E g_2 \iff \exists f \in G((g_1, f), (g_2, f) \in \mathcal{D}^G),$$

*so  $E$  is  $\exists$ -definable with parameters in the language of groups.*

**Proof** ( $\Leftarrow$ ) is immediate. Indeed, if  $(g_1, f), (g_2, f) \in \mathcal{D}^G$ , then  $\text{fix}(g_1) = \text{fix}(f) = \text{fix}(g_2)$ .

( $\Rightarrow$ ) Let  $g_1, g_2 \in \mathcal{D}_1^G$  have the same fixed point  $e$ . Then, by transitivity of  $G$ , find a conjugating element  $h \in G$  so that  $\text{fix}(g_1^h) = \text{fix}(g_2^h) = \{e\}$ . By 2.4,  $\mathcal{D}_{g_1^h}$  and  $\mathcal{D}_{g_2^h}$  are comeagre in  $X_c$ . Hence  $\mathcal{D}_{g_1^h} \cap \mathcal{D}_{g_2^h} \neq \emptyset$ . Choose  $k \in \mathcal{D}_{g_1^h} \cap \mathcal{D}_{g_2^h}$ , so that both  $(g_1^h, k) \in \mathcal{D}$  and  $(g_2^h, k) \in \mathcal{D}$ . But then  $(g_1, k^{h^{-1}}) \in \mathcal{D}^G$  and  $(g_2, k^{h^{-1}}) \in \mathcal{D}^G$ , so  $k^{h^{-1}}$  is our required  $f$ .

It follows that  $E$  is  $\exists$ -definable in the language of groups via the following formula.

$$xEy \leftrightarrow \exists v w z (x, v)^w = (g_1, g_2) \wedge (y, v)^z = (g_1, g_2),$$

where  $g_1, g_2$  are parameters with  $(g_1, g_2) \in \mathcal{D}$ . It is easy to check that the formula

$$xEy \wedge E \text{ is an equivalence relation on } \mathcal{D}_1^G$$

is an  $\forall\exists$  equivalence formula for  $G$ .  $\square$

Theorem 1.1 follows immediately from the above discussion.

### 3 Structures with a generic pair of automorphisms

In this section we describe a procedure for obtaining a pair of automorphisms  $(f_1, f_2)$  with the properties required in Theorem 1.1. As in the approach to

the small index property in [8], there are two ingredients: amalgamation, and an extension lemma for finite partial isomorphisms.

Suppose that  $\mathcal{M}$  is an  $\omega$ -categorical, transitive and homogeneous structure in the relational language  $L = \{R_1, \dots, R_n\}$ . Let  $\kappa$  be the class of all finite substructures of  $\mathcal{M}$ . For  $\mathcal{A} \in \kappa$ , consider an expansion  $\mathcal{A}'$  of  $\mathcal{A}$  to the language  $L' = \{R_1, \dots, R_n, f_1, f_2, c\}$ , where  $f_1$  and  $f_2$  are function symbols and  $c$  is a constant. Let  $\kappa'$  be the class consisting of all structures isomorphic to such  $\mathcal{A}'$ , where we require in addition that  $f_1, f_2$  are automorphisms of the  $L$ -reduct  $\mathcal{A}$ , and  $\text{fix}(f_1) = \text{fix}(f_2) = \{c\}$ . Below, and elsewhere, if  $\mathcal{A}, \mathcal{B}$  are structures in the same language,  $\mathcal{A} \leq \mathcal{B}$  means that  $\mathcal{A}$  is a substructure of  $\mathcal{B}$ .

**Definition 3.1** *Let  $\kappa'$  be the class of structures described above. Let  $\mathcal{A}', \mathcal{B}'_1, \mathcal{B}'_2 \in \kappa'$  be such that  $\mathcal{A}' \subseteq \mathcal{B}'_i$ ,  $\mathcal{A}' = \mathcal{B}'_1 \cap \mathcal{B}'_2$ , and suppose that  $f_j^{\mathcal{A}'} \subseteq f_j^{\mathcal{B}'_i}$ , for  $i, j = 1, 2$ . Let  $\mathcal{C}'$  be the disjoint union of  $\mathcal{B}'_1$  and  $\mathcal{B}'_2$  over  $\mathcal{A}'$  so that:*

1.  $\mathcal{B}'_i \leq \mathcal{C}'$ ,  $i = 1, 2$ ;
2.  $\mathcal{C}' = \mathcal{B}'_1 \cup \mathcal{B}'_2$ ,  $f_i^{\mathcal{C}'} = f_i^{\mathcal{B}'_1} \cup f_i^{\mathcal{B}'_2}$ ;
3. for all relation symbols  $R \in L$  and  $n$ -tuples  $\bar{a} \in \mathcal{C}'^n$ ,  $\mathcal{C}' \models R\bar{a}$  if and only if  $\bar{a} \in \mathcal{B}'_i$  for some  $i \in \{1, 2\}$  and  $\mathcal{B}'_i \models R\bar{a}$ .

*Then  $\mathcal{C}'$  is called the **free amalgam** of  $\mathcal{B}'_1$  and  $\mathcal{B}'_2$ .*

*If for all  $\mathcal{A}', \mathcal{B}'_1, \mathcal{B}'_2 \in \kappa'$  we have  $\mathcal{C}' \in \kappa'$ , we say that  $\kappa'$  has the **free amalgamation property**.*

Free amalgamation is generally treated as a property of structures in a relational language. As such,  $k$ -hypergraphs,  $K_n$ -free graphs and, more generally, the class of structures described by Herwig in [7] all enjoy free amalgamation. The property does not hold, for instance, for the class of all finite tournaments or all finite partial orders. Definition 3.1 may be viewed in this way, if we parse the function symbols as binary relation symbols.

If we assume that  $\kappa'$  has the free amalgamation property, Fraïssé's theorem ensures that  $\kappa'$  has a Fraïssé limit  $\mathcal{N}' = (\mathcal{N}, f_1, f_2, c)$ , which is countable and homogeneous (meaning that isomorphisms between finite *substructures* extend to automorphisms). The structure will be characterised up to isomorphism by being a union of a countable chain of members of  $\kappa'$ , and having the following property, denoted (EMB).

Let  $\mathcal{A}', \mathcal{B}' \in \kappa'$ , with  $\mathcal{A}' \leq \mathcal{B}'$ . Let  $g : \mathcal{A}' \rightarrow \mathcal{N}'$  be an embedding. Then  $g$  extends to an embedding  $h : \mathcal{B}' \rightarrow \mathcal{N}'$ .

Our goal is to ensure  $\mathcal{M}$  is isomorphic to the  $L$ -reduct  $\mathcal{N}$  of  $\mathcal{N}'$  (so they can be identified), and that the automorphisms  $f_1, f_2$  form a  $G_c$ -generic pair in  $X_c \times X_c$ . The proof is via a Banach-Mazur game, and it requires that the class  $\kappa$  has a certain 'fixed point extension property'.

**Definition 3.2** Let  $S$  be a relational language,  $\pi$  a class of finite  $S$ -structures. Then  $\pi$  is said to have the **fixed point extension property (FEP)** for finite partial isomorphisms if for all  $\mathcal{A} \in \pi$ , and finite partial isomorphisms  $p_1, \dots, p_n$  of  $\mathcal{A}$  such that  $\text{fix}(p_1) = \dots = \text{fix}(p_n) = \{c\}$ , there are  $\mathcal{B} \in \pi$  such that  $\mathcal{A} \leq \mathcal{B}$ , and  $f_1, \dots, f_n \in \text{Aut}(\mathcal{B})$  with  $p_i \subseteq f_i$  and  $\text{fix}(f_i) = \{c\}$  for  $i = 1, \dots, n$ .

We shall use the property with  $n = 2$ , which we call  $\text{FEP}_2$ . Section 4 below will be devoted to proving FEP for a range of different classes of relational structures.

We first check that  $\mathcal{N} \cong \mathcal{M}$ .

**Lemma 3.3** Let  $\mathcal{N}'$  be the Fraïssé limit of the class  $\kappa'$  of finite structures in the language  $L'$  described above, and suppose  $\kappa$  has  $\text{FEP}_2$ . Then the reduct  $\mathcal{N} := \mathcal{N}'|_L$  is isomorphic to  $\mathcal{M}$ .

**Proof** Let  $\mathcal{A}$  be a finite substructure of  $\mathcal{N}$ . We want to show that for any finite  $L$ -structure  $\mathcal{B}$  such that  $\mathcal{A} \leq \mathcal{B}$ ,  $\mathcal{B}$  embeds into  $\mathcal{N}$  over  $\mathcal{A}$ .

Since  $\mathcal{N}' = (\mathcal{N}, f_1, f_2, c)$  is the Fraïssé limit of  $\kappa'$ , it is a union of a chain of members of  $\kappa'$ . Hence there is a finite  $L'$ -structure  $\mathcal{C}' := (\mathcal{C}, f_1, f_2, c) \leq (\mathcal{N}, f_1, f_2, c)$ , such that  $\mathcal{C}' \in \kappa'$  and  $\mathcal{A} \leq \mathcal{C}'|_L$ . Let  $\mathcal{D}$  be an  $L$ -amalgam of  $\mathcal{C}$  and  $\mathcal{B}$  over  $\mathcal{A}$  (we use here that  $\mathcal{M}$  is homogeneous, so that  $\kappa$  has the amalgamation property).

By  $\text{FEP}_2$ , applied to the  $L$ -structure  $\mathcal{D}$  and its partial isomorphisms  $f_1, f_2$ , there is a finite  $L'$ -structure  $\mathcal{E}' = (\mathcal{E}, f'_1, f'_2, c) \in \kappa'$  such that:

1.  $\mathcal{D} \leq \mathcal{E}$ ;
2.  $f'_i$  extends  $f_i$  for  $i = 1, 2$ ;
3.  $\text{fix}(f'_i) = \{c\}$  for  $i = 1, 2$ .

By the universality and homogeneity of  $\mathcal{N}'$  with respect to structures in  $\kappa'$ ,  $\mathcal{E}'$  embeds in  $\mathcal{N}'$  over  $\mathcal{C}'$ . It follows that  $\mathcal{B}$  embeds in  $\mathcal{N}$  over  $\mathcal{A}$ , as required.  $\square$

By the last lemma, we may write  $\mathcal{M}' = (\mathcal{M}, f_1, f_2, c)$ , in place of  $\mathcal{N}'$ . Clearly  $f_i \in \text{Aut}(\mathcal{M})$  and  $\text{fix}(f_i) = \{c\}$ , for each  $i$ .

We now prove that the automorphisms  $f_1, f_2$  of  $\mathcal{M}$  are a generic pair. It will follow that  $\mathcal{M}$  has a weak  $\forall\exists$  interpretation by Theorem 1.1.

**Proposition 3.4** Let  $\mathcal{M}$  be an  $\omega$ -categorical, transitive and homogeneous structure in the relational language  $L = \{R_1, \dots, R_n\}$ . Adopt the notation  $c, f_1, f_2, L', \kappa, \kappa'$  of the discussion above, and suppose that  $\kappa'$  has the free amalgamation property and that  $\kappa$  has  $\text{FEP}_2$ . Let  $(\mathcal{M}, f_1, f_2, c)$  be the Fraïssé

limit of  $\kappa'$ , so  $\{c\} = \text{fix}(f_1) = \text{fix}(f_2)$ , let  $G = \text{Aut}(\mathcal{M})$ , and put  $\mathcal{D} = (f_1, f_2)^{G_c}$ . Then  $\mathcal{D}$  is comeagre in  $X_c \times X_c$ .

**Proof** We play the Banach-Mazur game of  $\mathcal{D}$  (we refer the reader to [11] for background and further details on such games). Let

$$P := \{f : \mathcal{M} \rightarrow \mathcal{M} : f \text{ is a finite partial isomorphism with } \text{fix}(f) = c\}.$$

Then  $P$  is partially ordered by inclusion. Now let  $P^2 = P \times P$ . The game is played as follows: players I and II choose an increasing sequence of elements of  $P^2$

$$(p_{1,0}, p_{2,0}), (p_{1,1}, p_{2,1}), (p_{1,2}, p_{2,2}), \dots$$

so that  $p_{1,i} \subseteq p_{1,i+1}$  and  $p_{2,i} \subseteq p_{2,i+1}$  for all  $i$ . Player I starts the game and chooses  $(p_{1,i}, p_{2,i})$  for  $i$  even, player II chooses at odd stages. Player II wins if and only if  $(p_1, p_2) := (\bigcup_{i \in \omega} p_{1,i}, \bigcup_{i \in \omega} p_{2,i}) \in \mathcal{D}$ . Player II has a winning strategy iff  $\mathcal{D}$  is comeagre in  $X_c \times X_c$  ([11], Theorem 8.33). We shall show that player II can always play so that at stage  $i$ , for  $i > 1$  and even,

(1) he can choose to put any particular  $x \in \mathcal{M}$  into the domain and range of  $p_{1,i}, p_{2,i}$ ;

(2)  $(p_{1,i}, p_{2,i}) \in P^2$  and  $\text{dom}(p_{1,i}) = \text{ran}(p_{1,i}) = \text{dom}(p_{2,i}) = \text{ran}(p_{2,i})$ ;

Player II will also ensure

(3)  $(\mathcal{M}, p_1, p_2, c)$  is *weakly homogeneous*, that is: if  $(\mathcal{A}, p_1^{\mathcal{A}}, p_2^{\mathcal{A}}, c)$ ,  $(\mathcal{B}, p_1^{\mathcal{B}}, p_2^{\mathcal{B}}, c)$  are finite  $L$ -structures,  $(\mathcal{A}, p_1^{\mathcal{A}}, p_2^{\mathcal{A}}, c) \leq (\mathcal{B}, p_1^{\mathcal{B}}, p_2^{\mathcal{B}}, c)$ , and  $\alpha : (\mathcal{A}, p_1^{\mathcal{A}}, p_2^{\mathcal{A}}, c) \rightarrow (\mathcal{M}, p_1, p_2, c)$  is an embedding, there is an embedding  $\tilde{\alpha} : (\mathcal{B}, p_1^{\mathcal{B}}, p_2^{\mathcal{B}}, c) \rightarrow (\mathcal{M}, p_1, p_2, c)$  extending  $\alpha$ .

At stage  $i + 1$ ,  $i$  even, player II is given a finite structure  $(\Delta_i, p_{1,i}, p_{2,i}, c)$ , where the  $p_{j,i}$  are finite partial isomorphisms of  $\Delta_i$ . Here,  $\Delta_i = \text{dom}(p_{1,i}) \cup \text{dom}(p_{2,i}) \cup \text{ran}(p_{1,i}) \cup \text{ran}(p_{2,i})$ . For points (1) and (2), for any  $x \in \mathcal{M}$ , II can consider  $\Delta_{i+1}^* := \Delta_i \cup \{x\}$  and use  $\text{FEP}_2$  to obtain extensions  $\Delta_{i+1}$  of  $\Delta_{i+1}^*$ , and  $p_{1,i+1}, p_{2,i+1} \in \text{Aut}(\Delta_{i+1})$  of  $p_{1,i}, p_{2,i}$ , each fixing only  $c$ . By homogeneity of the  $L$ -structure  $\mathcal{M}$ ,  $\Delta_{i+1}$  can be chosen to be a substructure of  $\mathcal{M}$  containing  $\Delta_i$ .

In order for (3) to hold, a typical task for II is the following: for  $(\mathcal{A}, p_1^{\mathcal{A}}, p_2^{\mathcal{A}}, c) \leq (\Delta_i, p_{1,i}, p_{2,i}, c)$  and  $(\mathcal{B}, p_1^{\mathcal{B}}, p_2^{\mathcal{B}}, c) \geq (\mathcal{A}, p_1^{\mathcal{A}}, p_2^{\mathcal{A}}, c)$ , II has to ensure that  $(\mathcal{B}, p_1^{\mathcal{B}}, p_2^{\mathcal{B}}, c)$  embeds in  $(\Delta_{i+1}, p_{1,i+1}, p_{2,i+1}, c)$  over  $(\mathcal{A}, p_1^{\mathcal{A}}, p_2^{\mathcal{A}}, c)$ . First,  $\Delta_i$  is a structure in  $\kappa$  containing  $c \in \mathcal{M}$ , and admits partial isomorphisms  $p_{1,i}, p_{2,i}$  each with fixed point  $c$ . Thus, by  $\text{FEP}_2$ , there is  $(\Delta_i^*, p_{1,i}^*, p_{2,i}^*, c) \in \kappa'$  with  $(\Delta_i, p_{1,i}, p_{2,i}, c) \leq (\Delta_i^*, p_{1,i}^*, p_{2,i}^*, c)$ . Now, using free amalgamation in  $\kappa'$ , there is  $(\Delta_{i+1}^*, p_{1,i+1}^*, p_{2,i+1}^*, c) \in \kappa'$ , the free amalgam over  $(\mathcal{A}, p_1^{\mathcal{A}}, p_2^{\mathcal{A}}, c)$  of  $(\Delta_{i+1}^*, p_{1,i+1}^*, p_{2,i+1}^*, c) \in \kappa'$  and  $(\mathcal{B}, p_1^{\mathcal{B}}, p_2^{\mathcal{B}}, c)$  (replacing  $\mathcal{B}$  by a copy  $\mathcal{B}'$  with  $\mathcal{B}' \cap \Delta_i^* = \mathcal{A}$ , if necessary). Finally, since  $(\mathcal{M}, c)$  is homogeneous, there is

an embedding  $g$  of  $\Delta_{i+1}^*$  into  $\mathcal{M}$  over  $\Delta_i$ . Let  $\Delta_{i+1} := g(\Delta_{i+1}^*)$ , and put  $p_{j,i+1} := g \circ p_{j,i+1}^* \circ g^{-1}$ , for  $j = 1, 2$ .

It follows that Player II can play so that  $(\mathcal{M}, p_1, p_2, c)$  has property (EMB). By (1) and (2) it is the union of a countable chain of members of  $\kappa'$ , so  $(\mathcal{M}, p_1, p_2, c) \cong \mathcal{M}'$ . Thus, there is  $h \in G_c$  with  $(p_1^h, p_2^h) = (f_1, f_2)$ , as required.  $\square$

**Corollary 3.5** *Let  $\mathcal{M}$  be a countable structure which satisfies the hypotheses of Proposition 3.4. Then  $\mathcal{M}$  has a weak  $\forall\exists$  interpretation.*

**Proof** By 1.1 and 3.4.  $\square$

## 4 Extension lemmas

We state a range of extension lemmas which will yield  $FEP_2$ , so make the Banach-Mazur game described above work for various relational structures. The proofs are essentially due to Bernhard Herwig. The motivation in Herwig's work was to obtain a proof of the small index property for the structures treated, by producing an analogue of Hrushovski's extension lemma for graphs [10] used in [8]. Herwig's proofs cover the extension property for partial isomorphisms without any restriction on the cycle type of the isomorphisms involved. However, minimal modifications of his proofs yield the extension property for finite partial isomorphisms having a unique fixed point. We shall give a brief indication of the changes needed. More details can be found in the first author's PhD thesis [3].

Herwig's proofs are by induction on the maximal arity  $k$  of the relation symbols in the language  $S$  concerned, and, later, on the maximal size of certain forbidden configurations. In both cases the induction hypothesis is used by reducing  $k$  as follows: a  $k$ -ary relation symbol  $R\bar{x}$  is replaced by  $(k-1)$ -ary symbols  $R_a$ , one for each element  $a$  of the smaller structure, to be interpreted in the obvious way ( $R_a\bar{b} \iff Rab$ ).

**Theorem 4.1 (Herwig)** *Let  $S$  be a finite relational language, and let  $\kappa$  be the class of all finite  $S$ -structures. Then  $\kappa$  has  $FEP$ , the fixed point extension property for partial isomorphisms.*

This theorem was originally proved in [6], but below we refer to [7], where more general theorems are proved.

Herwig's proof involves what he calls partial *permorphisms*, rather than isomorphisms. A  $\chi$ -permorphism is an isomorphism relative to a fixed permutation  $\chi$  of the relation symbols in the language. For the purposes of

our explanation, in what follows a permorphism can be thought of as an isomorphism. Let  $\mathcal{A} \in \kappa$  be given, let  $c \in \mathcal{A}$ , and let  $p_1, \dots, p_n$  be partial permorphisms of  $\mathcal{A}$  such that  $\text{fix}(p_i) = \{c\}$  for each  $i = 1, \dots, n$ . We want to find  $\mathcal{B} \in \kappa$  and total permorphisms  $f_1, \dots, f_n$  of  $\mathcal{B}$  such that  $f_i \supseteq p_i$  and  $\text{fix}(f_i) = \{c\}$ . In the Claim in Lemma 1, Section 2 of [7], a proof is given that there is an embedding of  $\mathcal{A}$  in a finite  $S$ -structure  $\mathcal{C}$  which has a regularity property relative to  $\mathcal{A}$ . This is achieved by adding enough realisations of positive atomic types over  $\mathcal{A}$  so that each such type has the same number of realisations in  $\mathcal{C}$ . An inclusion/exclusion argument shows that if  $\phi$  is a positive atomic type over  $\text{dom}(p_i)$ , then the translate  $\phi^{p_i}$  by  $p_i$  (which will be a type over  $\text{ran}(p_i)$ ), has exactly the same number of realisations in  $\mathcal{C}$  as  $\phi$ . Then each  $p_i$  can be extended to a map  $h_i : \mathcal{C} \rightarrow \mathcal{C}$  which maps the realisations of  $\phi$  bijectively to the realisations of  $\phi^{p_i}$ . The  $h_i$  are not total permorphisms of  $\mathcal{C}$ , but they essentially determine the required extensions  $f_i$  of the  $p_i$ . We can ensure that  $\text{fix}(h_i) = \{c\}$  as follows: for each positive atomic type  $\phi$  over  $\mathcal{A}$  we arrange that  $\phi$  has at least two realisations in  $\mathcal{C} \setminus \mathcal{A}$ . Then  $h_i \setminus p_i$  can be chosen to be fixed point-free for each  $i = 1, \dots, n$ .

Lemma 1, page 98, paragraph 5 onwards of [7] goes through exactly as in [7], with one further check needed, namely, that the extensions  $f_i$  of the  $p_i$  that one obtains eventually have a single fixed point. But this follows easily from the fact that the  $h_i$  have a single fixed point.

Herwig's other proofs can be modified in a similar way to yield FEP for the classes of structures he describes in [7]. His methods cover, for each of the following homogeneous structures  $\mathcal{M}$ , the class of structures isomorphic to a finite substructure of  $\mathcal{M}$ .

- the universal homogeneous  $k$ -hypergraph ([7], Theorem 5);
- the universal homogeneous  $K_m$ -free graph, for any  $m \geq 3$  ([7], Theorem 2);
- each Henson digraph ([7], Theorem 5);
- the arity  $k$  analogues of triangle free graphs, namely, for any fixed  $k$ , the homogeneous  $k$ -hypergraph which is universal subject to not admitting a  $(k + 1)$ -set all of whose  $k$ -subsets are hyperedges ([7], Theorem 5).

Henson digraphs and  $K_m$ -free graphs are also shown to have a weak  $\forall\exists$ -interpretation by Rubin, as they are in fact 'simple' in the sense of the term used in Rubin ([12], §3).

We refer the reader to [7] for the definitions involved in the statement of the following theorem, a slight adaptation of Theorem 5 of [7]:

**Theorem 4.2** *Let  $S$  be a finite relational language,  $\mathfrak{F}$  a set of finite  $S$  structures which are irreflexive and packed,  $\mathfrak{L}$  a set of irreflexive link structures. Then  $\mathfrak{K}_{\mathfrak{L}\mathfrak{F}}$  has FEP, the fixed point extension property for finite partial isomorphisms.*

An alternative treatment of such results is given in [9].

The discussion in Sections 2 and 3 yields the following as a corollary.

**Theorem 4.3** *Let  $\mathfrak{L}$  be a set of link structures and  $\mathfrak{F}$  be a set of finite irreflexive packed structures in a finite relational language. Let  $\mathcal{M}$  be the Fraïssé limit of the class  $\mathfrak{K}_{\mathfrak{L}\mathfrak{F}}$ , and assume that  $\mathcal{M}$  is transitive. Then  $\mathcal{M}$  has a weak  $\forall\exists$  interpretation.*

We shall use Theorem 4.3, and our earlier techniques, to reprove essentially the result of Singerman mentioned in the introduction, that the well-known Cherlin-Hrushovski example of a structure without the small index property *does* have a weak  $\forall\exists$ -interpretation (in our sense of the term). Actually, we deal with the construction where all the equivalence relations have two classes, whereas Singerman explicitly handles the case where  $E_n$  has  $n$  classes, but there is no essential difference.

First, we describe the construction. Let  $L$  be a language with, for each  $n \geq 2$ , a  $2n$ -ary relation symbol  $E_n$ , and let  $\mathcal{C}$  be the collection of all finite  $L$ -structures in which each  $E_n$  is interpreted by an equivalence relation on  $n$ -sets with at most two classes. Then  $\mathcal{C}$  has the amalgamation property, so there is a Fraïssé limit  $\mathcal{M}_{CH}$ . Each  $E_n$  is interpreted by an equivalence relation on the collection of  $n$ -subsets of  $\mathcal{M}_{CH}$  with exactly two classes, with no connection between the distinct  $E_i$ .

**Theorem 4.4** *The structure  $\mathcal{M}_{CH}$  has a weak  $\forall\exists$ -interpretation.*

**Proof** The group  $G := \text{Aut}(\mathcal{M}_{CH})$  has a closed normal subgroup  $H$  consisting of all automorphisms which fix each class of each  $E_n$ , and the quotient  $G/H$  is a Cartesian product of  $\aleph_0$  cyclic groups of order 2. For each  $n$ , let  $P_n$  be one of the  $E_n$ -classes. Let  $\mathcal{M}_{CH}^*$  be the structure with the same domain as  $\mathcal{M}_{CH}$  but with relations  $P_n$  (for  $n \geq 2$ ). Then  $H = \text{Aut}(\mathcal{M}_{CH}^*)$ . Essentially, each  $E_n$  can be viewed as the generic  $n$ -hypergraph *up to complementation*, and  $P_n$  may be viewed as the (hyper)-edge set of this hypergraph. Thus,  $H$  is the automorphism group of the generic structure which has, for each  $n$ , a generic  $n$ -hypergraph structure with hyperedge set  $P_n$ . (If we had dealt with the structure where  $E_n$  has  $n$  classes, rather than two classes, then the group corresponding to  $H$  would act on a structure with, for each  $n$ , a *coloured*  $n$ -hypergraph structure, coloured with  $n - 1$  colours; we allow also non-edges to fit the Herwig definitions.)

It is easily checked that the group  $H$  has an automorphism with comeagre conjugacy class (much less than Theorem 4.2 suffices for this). Thus, by Lemma 2.4 of [2],  $H$  is existentially definable in  $G$ . Also, it can be checked that the hypotheses of Theorem 4.2 hold for the finite substructures of  $\mathcal{M}_{CH}^*$ . Thus, by Theorem 4.3,  $\mathcal{M}_{CH}^*$  has a weak  $\forall\exists$ -interpretation. However, by the proof of Lemma 2.5, the equivalence relation in this interpretation is actually  $\exists$ -definable in  $H$ . It follows, by Proposition 2.2 of [2], that  $G$  has a weak  $\forall\exists$ -interpretation.  $\square$

*Acknowledgement.* We thank the referee for some helpful advice on the organisation of the paper, and asking whether our methods handle the Cherlin-Hrushovski example.

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