

A NOTE ON SUBVARIETIES OF POWERS OF OT-MANIFOLDS

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ABSTRACT. It is shown that the space of finite-to-finite holomorphic correspondences on an OT-manifold is discrete. When the OT-manifold has no proper infinite complex-analytic subsets, it then follows by known model-theoretic results that its cartesian powers have no interesting complex-analytic families of subvarieties. The methods of proof, which are similar to [Moosa, Moraru, and Toma “An essentially saturated surface not of Kähler-type”, *Bull. of the LMS*, 40(5):845–854, 2008], require studying finite unramified covers of OT-manifolds.

1. INTRODUCTION

This note is concerned with complex-analytic families of subvarieties in cartesian powers of the compact complex manifolds introduced by Oeljeklaus and the second author in [7], here referred to as OT-manifolds. These manifolds are higher dimensional analogues of Inoue surfaces of type S_M . In [4], we, along with Ruxandra Moraru, showed that if X is an Inoue surface of type S_M then X^n contains no infinite complex-analytic families of subvarieties, except for the obvious ones such as $(\{a\} \times V : a \in X^m)$ where V is a fixed subvariety of X^{n-m} . Using model-theoretic techniques we were able to reduce the problem to considering only the case of $n = 2$. That case amounted to showing that the set of finite-to-finite holomorphic correspondences on X , viewed as subvarieties of X^2 , is discrete. Here we extend this result to OT-manifolds in general. Actually, it is useful to consider the following higher arity version of correspondences: for any compact complex manifold X , let $\text{Corr}_n(X)$ denote the set of irreducible complex-analytic $S \subset X^n$ such that the co-ordinate projections $\text{pr}_i : S \rightarrow X$ are surjective and finite for all $i = 1, \dots, n$. So $\text{Corr}_2(X)$ is the set of finite-to-finite holomorphic correspondences.¹

Theorem 1. *If X is an OT-manifold then $\text{Corr}_n(X)$ is discrete for all $n > 0$.*

The proof, which we will give in Section 3, follows to some extent what was done for Inoue surfaces of type S_M in [4]. But this approach leads naturally to the consideration of finite unramified coverings of OT-manifolds, and the latter are not formally instances of the original construction in [7]. However, we show in Section 2 that a mild generalisation of that construction leads to a class of manifolds which is

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¹It may be worth pointing out that the elements of $\text{Corr}_n(X)$ are simply components of intersections of pull-backs of finite-to-finite holomorphic correspondences. That is, for $n > 1$, if $S \in \text{Corr}_n(X)$ and $\pi_i : X^n \rightarrow X^2$ is the co-ordinate projection $(x_1, \dots, x_n) \mapsto (x_1, x_i)$, for $i = 2, \dots, n$, then each $\pi_i(S) \subset X^2$ is a correspondence and S is an irreducible component of $\bigcap_{i=2}^n \pi_i^{-1}(\pi_i(S))$. This is an easy dimension calculation, see [5, Lemma 3.2].

closed under finite unramified coverings. We call these manifolds also OT-manifolds and the theorem is valid for this larger class.

The theorem is particularly significant when X has no proper positive dimensional subvarieties, because of the following fact coming from model theory.

Fact 2. *Suppose X is a compact complex manifold that is not an algebraic curve, is not a complex torus, and has no proper infinite complex-analytic subsets. Then every irreducible complex-analytic subset of a cartesian power of X is a cartesian product of points and elements of $\text{Corr}_n(X)$ for various $n > 0$.*

Proof. This is Proposition 5.1 of [9] together with Lemma 3.3(b) of [5]. \square

That OT-manifolds without proper positive dimensional subvarieties are ubiquitous in all dimensions follows from work of Ornea and Verbitsky [8] showing that we get examples whenever X is the OT-manifold corresponding to a number field that has precisely two complex embeddings which are not real.

Putting together the Theorem and the Fact, we conclude:

Corollary 3. *Suppose X is an OT-manifold that has no proper infinite complex-analytic subsets. Then, for all $n > 0$, X^n has no infinite complex-analytic families of subvarieties that project onto each co-ordinate.*

Remark 4. The model theorist should note that for X to have no proper infinite complex-analytic subsets is exactly *strong minimality* of X as a first-order structure in the language of complex-analytic sets. Strongly minimal OT-manifolds are of *trivial acl-geometry* by the manifestation of the Zilber trichotomy in this context. By [5, Proposition 3.5], the discreteness of $\text{Corr}_2(X)$ implies that strongly minimal OT-manifolds are *essentially saturated* in the sense of [3]. In particular, we obtain in every dimension examples of essentially saturated manifolds that are not of Kähler-type. This was the original motivation for both [4] and the current note.

2. FINITE COVERS OF OT-MANIFOLDS

We will quickly review the original construction of OT-manifolds from [7] and then describe how to generalise it.

Fix a number field K admitting $n = s + 2t$ distinct embeddings into \mathbb{C} , which we will denote by $\sigma_1, \dots, \sigma_n$ where $\sigma_1, \dots, \sigma_s$ are real and each σ_{s+i} is complex conjugate to σ_{s+i+t} . Assume that s and t are positive. By Dirichlet's Theorem the multiplicative group of units \mathcal{O}_K^* of the ring of integers \mathcal{O}_K of K has rank $s + t - 1$. The subgroup

$$\mathcal{O}_K^{*,+} := \{a \in \mathcal{O}_K^* : \sigma_i(a) > 0 \text{ for all } 1 \leq i \leq s\}$$

of “positive” units is free abelian of finite index in \mathcal{O}_K^* . Let U be a rank s subgroup of $\mathcal{O}_K^{*,+}$ that is admissible for K in the sense of [7]. With respect to the natural action of U on the additive group \mathcal{O}_K , consider the semidirect product $\Gamma = U \rtimes \mathcal{O}_K$. Let $m = s + t$ and consider the action of Γ on \mathbb{C}^m given by,

$$(a, x)(z_1, \dots, z_m) := (\sigma_1(ax) + \sigma_1(a)z_1, \dots, \sigma_m(ax) + \sigma_m(a)z_m).$$

As $U < \mathcal{O}_K^{*,+}$, this action leaves $\mathbb{H}^s \times \mathbb{C}^t$ invariant, and the admissibility condition is equivalent to the action being proper and discontinuous. The original OT-manifold, denoted by $X(K, U)$, is the quotient of $\mathbb{H}^s \times \mathbb{C}^t$ by this action. In the sequel we

will denote these manifolds by $X(\mathcal{O}_K, U)$ in order to distinguish them from their generalisations.

The above construction is generalised by replacing the role of \mathcal{O}_K in Γ by any rank n additive subgroup $M \leq \mathcal{O}_K$ that is stable under the action of U . We say then that U is *admissible for M* . Taking $\Gamma = U \times M$, we again get a proper and discontinuous action on $\mathbb{H}^s \times \mathbb{C}^t$, and the quotient is denoted by $X(M, U)$. We will continue to call these compact complex manifolds *OT-manifolds*. To avoid confusing them with the previous construction we will occasionally say that they are of type $X(M, U)$ (otherwise of type $X(\mathcal{O}_K, U)$). Note that the possibility of generalising the original construction by replacing \mathcal{O}_K with an order of K is already mentioned in [7]. However only the \mathbb{Z} -submodule structure of M and the stability under the U -action are necessary to make the construction work.

The universal cover of $X(M, U)$ is $\mathbb{H}^s \times \mathbb{C}^t$ and the fundamental group is $U \times M$. As the latter is of finite index in $U \times \mathcal{O}_K$, we see that $X(M, U)$ is a finite unramified covering of $X(\mathcal{O}_K, U)$. In fact, all finite unramified covers are of this form:

Lemma 5. *The class of OT-manifolds of type $X(M, U)$ is closed under finite unramified coverings.*

Proof. Given $X(M, U)$, such a covering would correspond to a finite index subgroup $\Gamma_1 \leq U \times M$. Taking U_1 to be the image of Γ_1 in U , and setting $M_1 := \Gamma_1 \cap M$, it is not hard to check that U_1 is admissible for M_1 and that the covering is nothing other than $X(M_1, U_1)$. \square

Much of the theory of OT-manifolds developed in [7] goes through in this more general setting. In particular,

Lemma 6. *If $X = X(M, U)$ is an OT-manifold then $H^0(X, T_X) = 0$.*

Proof. For OT-manifolds of type $X(\mathcal{O}_K, U)$ this is Proposition 2.5 of [7]. Imitating that argument, it suffices to prove for M a rank n additive subgroup of \mathcal{O}_K , that the image of M in \mathbb{R}^s under $(\sigma_1, \dots, \sigma_s)$ is dense. But this is the case because M has finite index in \mathcal{O}_K and the latter does have dense image (see the proof of Lemma 2.4 of [7]). \square

The following remarks serve as further evidence that the above extension of the definition of OT-manifolds is natural.

Remark 7. *Any OT-manifold of type $X(M, U)$ admits a finite unramified cover of type $X(\mathcal{O}_K, U)$.*

Indeed, since M is of maximal rank in \mathcal{O}_K , there exists a positive integer l such that $l\mathcal{O}_K \subset M$. Thus $X(l\mathcal{O}_K, U)$ is a finite unramified cover of $X(M, U)$. But the multiplication by l at the level of $\mathbb{H}^s \times \mathbb{C}^t$ conjugates the actions of $U \times \mathcal{O}_K$ and of $U \times l\mathcal{O}_K$ and thus induces an isomorphism between $X(\mathcal{O}_K, U)$ and $X(l\mathcal{O}_K, U)$.

Remark 8. *When $s = t = 1$ the class OT-manifolds of type $X(M, U)$ coincides with the class of Inoue surfaces of type S_M defined in [2].*

Indeed, if one starts with the manifold $X(M, U)$, then choosing a generator a of U with $\sigma_1(a) > 1$ and a base $(\alpha_1, \alpha_2, \alpha_3)$ of M over \mathbb{Z} one obtains a matrix $A(a) \in GL(3, \mathbb{Z})$ which represents the action of a on M with respect to this basis. Applying the embedding σ_k to the relation $a(\alpha_1, \alpha_2, \alpha_3)^\top = A(a)(\alpha_1, \alpha_2, \alpha_3)^\top$ shows that $(\sigma_k(\alpha_1), \sigma_k(\alpha_2), \sigma_k(\alpha_3))^\top$ is an eigenvector of $A(a)$ associated to the eigenvalue

$\sigma_k(a)$. In particular this implies $A(a) \in SL(3, \mathbb{Z})$ since $\sigma_1(a) > 0$. At this point one sees that $X(M, U)$ coincides with the surface $S_{A(a)}$ as defined in [2].

Conversely, starting with any matrix $A \in SL(3, \mathbb{Z})$, with one real eigenvalue larger than 1 and two complex non-real eigenvalues, we denote by K the splitting field of the characteristic polynomial χ_A of A over \mathbb{Q} . Then there exists an element $a \in \mathcal{O}_K^{*,+}$ such that the eigenvalues of A (i.e the roots of χ_A) are precisely $\sigma_1(a), \sigma_2(a), \sigma_3(a)$. We find now an eigenvector $v \in \mathbb{Z}[\sigma_1(a)]^3$ associated to $\sigma_1(A)$ by solving the system $(A - \sigma_1(a)I_3)v^\top = 0$ over K . There exist now elements $\alpha_1, \alpha_2, \alpha_3 \in \mathcal{O}_K$ such that $v = (\sigma_1(\alpha_1), \sigma_1(\alpha_2), \sigma_1(\alpha_3))$. Moreover $\alpha_1, \alpha_2, \alpha_3$ are linearly independent over \mathbb{Q} since a linear relation would entail a linear relation between the components v_1, v_2, v_3 of v over \mathbb{Q} , which combined with the equations $(A - \sigma_1(a)I_3)v^\top = 0$ would show that $\sigma_1(a)$ is quadratic over \mathbb{Q} . Now choosing M to be the \mathbb{Z} -submodule of K generated by $\alpha_1, \alpha_2, \alpha_3$ and U the multiplicative group generated by a we get again $X(M, U) = S_{A(a)}$.

3. THE PROOF

As in the case of Inoue surfaces of type S_M studied in [4], we will make use of some deformation theory to prove the main theorem. But we will need a bit more than was used in [4]. We say that a holomorphic map $f : V \rightarrow W$ between compact complex manifolds is *rigid over W* if there are no nontrivial deformations of f that keep W fixed. More precisely: Whenever $\mathcal{V} \rightarrow D$ is a proper and flat holomorphic map of compact complex varieties with $V = \mathcal{V}_d$ for some $d \in D$, and $\mathcal{F} : \mathcal{V} \rightarrow D \times W$ is a holomorphic map over D with $\mathcal{F}_d = f$, then there is an open neighbourhood U of d in D and a diagram

$$\begin{array}{ccccc}
 \mathcal{V}_U & & & & \\
 & \searrow & & \searrow & \\
 & & U & \longleftarrow & U \times W \\
 & \nearrow & & \nearrow & \\
 U \times V & & & &
 \end{array}$$

ϕ (vertical arrow from \mathcal{V}_U to $U \times V$)
 \mathcal{F}_U (arrow from \mathcal{V}_U to $U \times W$)
 $\text{id}_U \times f$ (arrow from $U \times V$ to $U \times W$)

where ϕ is a biholomorphism. In particular $\mathcal{F}_s(\mathcal{V}_s) = f(V)$ for all $s \in U$.

Fact 9 (Section 3.6 of [6]). *Suppose $f : V \rightarrow W$ is a holomorphic map between compact complex manifolds such that*

- $H^0(V, f^*T_W) = 0$, and
- $f_* : H^1(V, T_V) \rightarrow H^1(V, f^*T_W)$ is injective.

Then f is rigid over W .

Lemma 10. *Suppose X and Y are compact complex manifolds, $H^0(Y, T_Y) = 0$, and $f : Y \rightarrow X^n$ is a holomorphic map such that $\text{pr}_i \circ f : Y \rightarrow X$ is a finite unramified cover for each $i = 1, \dots, n$. Then f is rigid over X^n .*

Proof. Note that here $\text{pr}_i : X^n \rightarrow X$ is the projection onto the i th co-ordinate. Let $f_i := \text{pr}_i \circ f : Y \rightarrow X$. As each f_i is unramified, we have that

$$f^*T_{X^n} = f^* \left(\bigoplus_{i=1}^n \text{pr}_i^* T_X \right) = \bigoplus_{i=1}^n f_i^* T_X = \bigoplus_{i=1}^n T_Y$$

Hence, $H^0(Y, f^*T_{X^n}) = \bigoplus_{i=1}^n H^0(Y, T_Y) = 0$. On the other hand, the isomorphism $(f_1)_* : H^1(Y, T_Y) \rightarrow H^1(Y, f_1^*T_X)$ factors through $f_* : H^1(Y, T_Y) \rightarrow H^1(Y, f^*T_{X^n})$, and hence the latter is injective. So $f : Y \rightarrow X^n$ is rigid over X^n by Fact 9. \square

We can now prove the main theorem.

Proof of Theorem 1. Suppose X is an OT-manifold of type $X(M, U)$. As in [4], in order to show that $\text{Corr}_n(X)$ is discrete we let $S \in \text{Corr}_n(X)$ be arbitrary, consider the irreducible component D of the Douady space of X^n in which S lives, and show that D is zero-dimensional. This suffices as it proves that each element of $\text{Corr}_n(X)$ is isolated in the Douady space.

Let $Z \subset D \times X^n$ be the restriction of the universal family to D . By the flatness of $Z \rightarrow D$, for general $d \in D$, $Z_d \in \text{Corr}_n(X)$ also. Let $\tilde{Z} \rightarrow Z$ be a normalisation and denote by $f : \tilde{Z} \rightarrow D \times X^n$ the composition of the normalisation with the inclusion of Z in $D \times X^n$. Then for general $d \in D$ we have that $f_d : \tilde{Z}_d \rightarrow X^n$ is such that each projection $\text{pr}_i \circ f_d : \tilde{Z}_d \rightarrow X$ is a finite surjective map. In [1] it is shown that OT-manifolds of type $X(\mathcal{O}_K, U)$, and hence also OT-manifolds of type $X(M, U)$, have no divisors. So the purity of branch locus theorem (which applies as \tilde{Z}_d is normal and X is smooth) implies that $\text{pr}_i \circ f_d$ is a finite unramified covering. In particular, \tilde{Z}_d is a generalised OT-manifold by Lemma 5, and so $H^0(\tilde{Z}_d, T_{\tilde{Z}_d}) = 0$ by Lemma 6. But moreover, by Lemma 10, f_d is rigid over X^n . It follows that for some open neighbourhood U of d in D , $f_U : \tilde{Z}_U \rightarrow U \times X^n$ is biholomorphic over $U \times X^n$ with $\text{id}_U \times f_d : U \times \tilde{Z}_d \rightarrow U \times X^n$. In particular, for all $s \in U$, $Z_s = f_s(\tilde{Z}_s) = f_d(\tilde{Z}_d) = Z_d$. The universality of the Douady space now implies that $U = \{d\}$, so that in fact $D = \{d\}$, as desired. \square

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